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**PATENT**

Attorney Docket No.  
DX0903K1

CN 028008

**RECEIVED**

Date: Dec. 18, 2001 By: Lois E. Miller

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CENTER 1600 2900

In re application of:

J. Fernando BAZAN, et al.

Serial No.: 09/963,347

Filed: September 25, 2001

For: MAMMALIAN CYTOKINES;  
RELATED REAGENTS AND  
METHODS

Examiner: not yet assigned

Art Unit: not yet assigned

COMPUTER READABLE  
SEQUENCE SUBMISSION

Palo Alto, California 94304

December 17, 2001

BOX Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**COMPLIANCE WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

In reply to receipt of a "Notice to Comply" with requirements for patent applications containing nucleotide sequence and/or amino acid sequence disclosures dated October 26, 2001, for the above-identified application, in accordance with 37 CFR § 1.821 - 1.825, Applicants hereby submit: (1) a write-protected diskette containing a computer-readable submission for the "Sequence Listing" and (2) a "Sequence Listing" paper copy of the contents of the diskette.

Enclosed is a write protected floppy diskette with the sequence listing submitted by the Patent Office's PATENTIN program. The Diskette should comply with the requirements of 37 CFR § 1.824 and is IBM PC compatible with a PC DOS/MS-DOS operating system. If the diskette has been damaged, please call

Applicants and a replacement diskette will be provided. A hard paper copy printout of the diskette is attached thereto.

I hereby state the informational contents of the paper and computer readable  
5 copies of the Sequence Listing, submitted in accordance with 37 CFR 1.821(c) and  
(e), respectively, are believed to be the same. This submission introduces no new  
matter since enclosed sequences are the same as sequences which were submitted  
in priority documents.

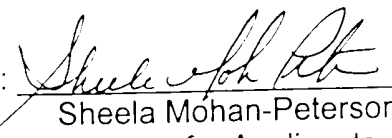
10 Applicants have invested significant labor and care in preparing the present  
submission. The enclosed items are a bona fide effort to bring the present  
application into full compliance with the rules for sequence submissions. Should  
this not be the case, Applicants respectfully request notification of specific  
deficiencies and an opportunity for remedy, as described in 37 CFR 1.135(c).

15 Applicants believe that no fees are required; however, if any fees are required  
by the present Response, the Commissioner is authorized to charge any fees or  
credit any overpayment to DNAX Research Institute Deposit Account No. 04-1239.

20 Respectfully submitted,

Date: December 17, 2001

By:

  
Sheela Mohan-Peterson  
Attorney for Applicants  
Reg. No. 41,201

25 enclosures and attachments:  
one write-protected diskette (CRM)  
30 paper copy of contents of diskette

DNAX Research Institute  
Palo Alto, California 94304-1104  
Main: (650) 496-6400  
Direct: (650) 496-1244  
Fax: (650) 496-1200

## CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/963,347  
Filing Date: 9/25/2001  
Date Processed by STIC: 2/12/2002

STIC Contact: Mark Spencer, 703-308-4212

### Nature of Problem:

The CRF (was):

- ☒ (circle one) Damaged or Unreadable (for Unreadable, see attached)  
☐ Blank (no files on CRF) (see attached)  
☐ Empty file (filename present, but no bytes in file) (see attached)  
☐ Virus-infected. Virus name: \_\_\_\_\_ The STIC will not process the CRF.  
☐ Not saved in ASCII text  
☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.  
☐ Did not contain a Sequence Listing. (see attached sample)  
☐ Other: \_\_\_\_\_

**PLEASE USE THE CHECKER VERSION 3.1 PROGRAM TO REDUCE ERRORS.  
SEE BELOW FOR ADDRESS:**

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<http://www.uspto.gov/efb/efs/downloads/documents.htm>) , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002